

ESMA Staff Stakeholder contacts between January and June 2024

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
09/01/2024	Invesco	Timeline of the guidelines and Exchange Traded Fund/Index tracker related issues
10/01/2024	IAPB	Ongoing consultation of the International Advisory Panel on Biodiversity (IAPB) on biodiversity credits
11/01/2024	Financial Industry Regulatory Authority (FINRA)	Meeting in the context of their preparation of securities lending data collection following the issuance of Securities and Exchange Commission (SEC) rules
11/01/2024	Euronext	Listing Act (Prospectus), European green bond standard (EuGB)
12/01/2024	Bloomberg	Impact of AI in financial markets
17/01/2024	BlackRock	European green bond standard (EuGB)
17/01/2024	E-Trading Software	consolidated tape
18/01/2024	AFM/DNB/BaseDEX	Tokenisation of financial instruments; Distributed Ledger Technology (DLT) Pilot Regime

Date	Institution met	Main topics discussed
22/01/2024	DeutscheBank	European green bond standard (EuGB)
22/01/2024	The International Capital Market Association (ICMA)	Central Securities Depositories Regulation (CSDR) Penalty Mechanism
23/01/2024	University College London UCL	Markets in Crypto-Assets Regulation (MiCA), trading platforms for crypto-assets
23/01/2024	Euronext	outsourcing, Regulatory Technical Standards (RTS) 7
24/01/2024	University of Zurich and 2DII	Environmental impact claims detection
24/01/2024	European Association of CCP Clearing Houses (EACH)	T+1; Central Securities Depositories Regulation (CSDR) settlement discipline
31/01/2024	Various associations representing consumers interests (Better Finance, BEUC, Finance Watch, and a few national members of those)	Retail Investment Strategy (RIS), Markets in Crypto-Assets Regulation (MiCA), Sustainable Finance
01/02/2024	EUNICE	Crypto-assets
02/02/2024	FESE	Markets in Financial Instruments Regulation (MiFIR) review, consolidated tapes
06/02/2024	S&P	European green bond standard (EuGB)
06/02/2024	Adamantia	consolidated tapes
12/02/2024	MSCI	EU CTB and EU PAB and Benchmarks Regulation (BMR) review
14/02/2024	Sustainalytics	European green bond standard (EuGB)

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14/02/2024	LBBW Asset Management	Impact investing and funds claiming to contribute towards achieving the Sustainable Development Goals
15/02/2024	DNV	European green bond standard (EuGB)
15/02/2024	Cambridge Center for Alternative Finance	Crypto-assets
15/02/2024	The Value Exchange (TVE)	US transition to T+1
20/02/2024	CBOE	Markets in Financial Instruments Regulation (MiFIR) review, Listing Act, Clearing
27/02/2024	Gartner	financial stability risks, AI
27/02/2024	EFAMA	Delegation rules and supervisory convergence
29/02/2024	Euroclear	Digital Securities Issuance
05/03/2024	TURN	Tokenisation
05/03/2024	Svenskt Näringsliv - Confederation of Swedish Enterprise	Listing Act
05/03/2024	David Doyle	financial stability risks
06/03/2024	ENEL	European green bond standard (EuGB)
06/03/2024	ISS	European green bond standard (EuGB)
07/03/2024	US Department of Treasury	Financial Stability Board (FSB) activities
07/03/2024	Harald Walkate	Sustainable Development Goals and investor education
08/03/2024	Stellantis	European green bond standard (EuGB)

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08/03/2024	Capstone	Costs and Performance report, Retail Investment Strategy (RIS)
14/03/2024	Euronext	Listing Act (Prospectus), European green bond standard (EuGB)
15/03/2024	European Contact Group	Taxonomy Reporting
18/03/2024	SEC	Supervision of financial information, Standard setting developments, European Single Electronic Format (ESEF)
18/03/2024	ICE	Title Transfer Facility (TTF) Gas market
19/03/2024	LSEG	Environmental, Social, and Governance (ESG) benchmarks and European Benchmarks Regulation (BMR) review
21/03/2024	ISDA/EBA	International Swaps and Derivatives Association (ISDA) Standard Initial Margin Model (SIMM) model validation under European Market Infrastructure Regulation (EMIR) 3
22/03/2024	Financial Market Standard Board - FMSB	Pre-hedging
22/03/2024	AFME	Central Securities Depositories Regulation (CSDR) Settlement Discipline
25/03/2024	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Financial and digital corporate disclosures

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26/03/2024	Prof. Christopher Brummer, Georgetown University Law Center	Disclosures under Markets in Crypto-Assets Regulation (MiCA) and RecTech tools for issuers / supervisors
26/03/2024	AFME	Pre-hedging
26/03/2024	European Central Securities Depositories Association (ECSDA)	T+1; Central Securities Depositories Regulation (CSDR) settlement efficiency
27/03/2024	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Sustainability / Digital reporting
02/04/2024	Accuracy	ESMA working paper (de Renzis and Mosson, 2022) and related topics on ESG investing
03/04/2024	ISDA	Use of benchmarks and European Benchmarks Regulation (BMR) review
04/04/2024	CITI	A large range of Market and Digital Innovation (MDI) related Regulations
08/04/2024	Carbone 4	Environmental, Social and Governance (ESG) data and regulation of ESG ratings providers
09/04/2024	EUI	cooperation with European University Institute (EUI) FBF
09/04/2024	Spain NAB, Finance Fair, Impact Finance	Impact investing and funds claiming to contribute towards achieving the Sustainable Development Goals
09/04/2024	KRAKEN	Kraken's regulatory licenses, Markets in Crypto-Assets Regulation (MiCA), transitional period, CASP rules and stablecoins

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10/04/2024	Opten ESG	ESG Rating Reg. Proposal
11/04/2024	IESBA seminar with representatives from multiple stakeholders, including audit firms, independent assurance service providers, issuers' organisations and other international organisations	Sustainability assurance
16/04/2024	The International Securities Lending Association (ISLA)	Central Securities Depositories Regulation (CSDR) penalty mechanism
22/04/2024	Michael Seigne	Shares buy-back
22/04/2024	European Central Securities Depositories Association (ECSDA)	Passporting of CSD services, CSD substantial importance, TC-CSD notifications
23/04/2024	FundGlobam	Non-EU funds, UK TMPR, AIFMD passporting, Double-filing requirements for UCITS, various tax issues, local requirements on marketing materials, and other topics, please see meeting notes
24/04/2024	APARMA	Upcoming RTSs and Consultation papers; Post trade transparency scope: treatment of OTC Derivatives; CTP selection and supervision; ESMA Supervisory priorities
25/04/2024	Sustainalytics	Environmental, Social and Governance (ESG) controversies data and methodology
25/04/2024	Investment Company Institute (ICI)	T+1; Artificial Intelligence

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25/04/2024	Generali	Generali's AI pilot for AM and Distributed Ledger Technology (DLT) Projects (tokenised funds)
29/04/2024	ICI	Costs and Performance report, Retail Investment Strategy (RIS)
29/04/2024	EFAMA	Discuss what ESMA is considering doing to ensure convergence in the way National Competent Authorities (NCAs) interpret the cash breach rule (Undertakings for the Collective Investment in Transferable Securities - UCITS Art 52).
30/04/2024	Alan Turing Institute	Artificial intelligence
30/04/2024	Worldline	Tokenised fund shares, on-chain settlement and payments
03/05/2024	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	ESRS and sustainability reporting
07/05/2024	Follow the Money	Discussion on green fund holdings following FtM publication of a related article (https://www.ftm.eu/articles/great-green-investment-2024-edition-greenwashing-fossil-fuel)
15/05/2024	Common Consultancy	Social media impact on EU prices, role of social media in the financial sector
15/05/2024	PGIM	Sustainable Finance Disclosure Regulation (SFDR), PAB/CTBs, Fund guidelines, US context

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15/05/2024	Goldman Sachs	Sustainable Finance framework, transition finance and greenwashing supervision
15/05/2024	European Energy Exchange AG (EEX)	Lot sizes and position limits
22/05/2024	ISDA	Use of benchmarks and European Benchmarks Regulation (BMR) review
22/05/2024	Urgewald	Methodology of Urgewald's Global Coal Exclusion List
22/05/2024	EUI	Single Supervisory Mechanism (SSM) training on Environmental, Social, and Governance (ESG) ratings and data
22/05/2024	Bank of England	Joint Bank of England-Financial Conduct Authority (FCA) sandbox for Distributed Ledger Technology (DLT) financial instruments (the Digital Securities Sandbox)
28/05/2024	ING	Use of benchmarks and European Benchmarks Regulation (BMR) review
29/05/2024	Quantum Safe Financial Forum	cybersecurity, in terms of how systems and infrastructure need to be changed, with which priorities, etc.
29/05/2024	US Department of Treasury	Financial Stability Board (FSB) activities
29/05/2024	University of Augsburg	List of Environmental, Social, and Governance (ESG) terms used in fund names

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29/05/2024	Investment Association	UK Sustainability Disclosure Requirements (SDR) implementation, reactions to the ESMA fund naming guidelines and IA views on the Sustainable Finance Disclosure Regulation (SFDR) review.
29/05/2024	NBIM	Labelled bonds
30/05/2024	LMA	Adoption of fallbacks by supervised users of benchmarks
30/05/2024	FRC, FCA, UKEB	Corporate reporting disclosures
30/05/2024	Euroclear	Central Securities Depositories Regulation (CSDR) Refit, T+1
31/05/2024	International Accounting Standards Board (IASB)	Corporate reporting disclosures
02/06/2024	European Energy Exchange AG (EEX)	Electricity market design
03/06/2024	Lianhe international Rating	Credit Rating Agencies (CRA) Regulation
03/06/2024	AFME	Revision of the Securitisation Regulation
03/06/2024	WSD.com	Trends in the EU structured retail product market
05/06/2024	TURN	Tokenisation
07/06/2024	Capital Group	Retail Investment Strategy (RIS) package, including Packaged Retail Investment and Insurance-based Products (PRIIPs), benchmark, and value for money related issues

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11/06/2024	EthiFinance	European green bond standard (EuGB) Reg and Environmental, Social and Governance Risk Rating (ESGRR)
11/06/2024	ICI	financial stability risks
12/06/2024	Blackrock	Recent policy developments
12/06/2024	LBS London Business School	welcome remarks
12/06/2024	BitPanda	Crypto-assets
12/06/2024	Sorare	Classification of Non-Fungible-Tokens
13/06/2024	MSCI	Environmental, Social and Governance Risk Rating (ESGRR)
14/06/2024	HKMA	Use of benchmark and third country regime
14/06/2024	Accountancy Europe roundtable with representatives of audit firms, issuers, regulators, independent assurance service providers, NGOs and financial analysts	Sustainability assurance
17/06/2024	AFME	Designated Publishing Entity (DPE) regime transparency
18/06/2024	SRP.com	Trends in the EU structured retail product market
18/06/2024	Jane Street	Markets in Financial Instruments Regulation (MiFIR) Review – Regulatory Technical Standards (RTS) 2 amendment.
19/06/2024	WWF	Climate transition plans

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20/06/2024	Leibniz Institute for Financial Research (SAFE)	2023 Technical Support Instrument projects
20/06/2024	Citi	Capital Market Union (CMU), Sustainable Finance, Distributed Ledger Technology (DLT) pilot regime, T+1
20/06/2024	Luxembourg Centre for European Law	Enforcement in financial markets
21/06/2024	BDO, KPMG, Deloitte, Mazars, EY, PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Financial and digital corporate disclosures, Sustainability / Digital reporting
24/06/2024	Kiln	Crypto-assets
24/06/2024	Irish Funds	Macro-Prudential Framework for Asset Management / Investment Funds, Undertakings for the Collective Investment in Transferable Securities (UCITS) Eligible Assets, European Long-term Investment Funds (ELTIF), Delegation / Alternative Investment Fund Managers Directive (AIFMD) L2, Sustainable Finance – Fund Name Guidelines, T+1
24/06/2024	Euronext	Listing requirements
26/06/2024	ISS	European green bond standard (EuGB) Reg
26/06/2024	EFAMA	Open-Ended Loan Originating Alternative Investment Funds (AIFs)
26/06/2024	Consortium of buy side representatives	Markets in Financial Instruments Regulation (MiFIR) Review – Regulatory Technical Standards (RTS) on reasonable commercial basis (RCB)

Date	Institution met	Main topics discussed
02/07/2024	FESE	Markets in Financial Instruments Regulation (MiFIR) Review – Regulatory Technical Standards (RTS) on reasonable commercial basis (RCB) & Consolidated Tape Providers (CTPs)